No. 86-44

Supreme Court, U.S.
FILED
NOV 20 1986
JOSEPH F. SPANIOL, JR.
CLERK

IN THE

Supreme Court of the United States

OCTOBER TERM, 1986

SHEARSON/AMERICAN EXPRESS INC. and
MARY ANN MCNULTY,

Petitioners,

v.

EUGENE MCMAHON, JULIA MCMAHON, individually and as trustees of THE DAVID J. HODDER & SON, INC. EMPLOYEE PENSION PLAN; THE DAVID J. HODDER & SON, INC. PROFIT SHARING PLAN; THE LAURIE FUNERAL HOME, INC. EMPLOYEE PENSION PLAN; and THE LAURIE FUNERAL HOME PROFIT SHARING PLAN,

Respondents.

ON WRIT OF CERTIORARI
TO THE UNITED STATES COURT OF APPEALS
FOR THE SECOND CIRCUIT

JOINT APPENDIX

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Petition For Certiorari Filed July 14, 1986
Petition For Certiorari Granted October 6, 1986

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GENERAL DOCKET

UNITED STATES COURT OF APPEALS
FOR THE SECOND CIRCUIT

DATE	FILINGS - PROCEEDINGS	85-7844
10-28-85	Copy of district court docket entries and notice of appeal on behalf of the appellants EUGENE & JULIA MCMAHON, filed (85-7844)	
10-28-85	Copy of district court docket entries and notice of cross-appeal on behalf of the cross-appellant SHEARSON/AMERICAN EXPRESS, INC. filed (85-7846)	
10-30-85	Copy of receipt re: Payment of docketing fee in district court filed (85-7846)	
11-4-85	Appellants EUGENE & JULIA MCMAHON, Form C filed (w/pfs/copy of order) (85-7844)	
11-4-85	Appellants EUGENE & JULIA MCMAHON, Form D filed (w/pfs) (85-7844)	
11-5-85	Cross-Appellants SHEARSON/AMERICAN EXPRESS, INC., Form C filed (w/pfs) (85-7846)	
11-5-85	Cross-Appellants SHEARSON/AMERICAN EXPRESS, INC., Form D filed (w/pfs) (85-7846)	
11-7-85	Copy of receipt re: Payment of docketing fee in district court filed (85-7844)	
11-13-85	Scheduling order #1, PC filed (85-7844 & 85-7846)	
12-4-85	Scheduling order #2 filed	
12-19-85	Record on appeal filed (original papers of district court)	

DATE	FILINGS - PROCEEDINGS
12-30-85	Appellant-Cross-Appellee MCMAHON brief filed (w/pfs)
12-30-85	Appellant-Cross-Appellee MCMAHON joint appendix filed (w/pfs)
1-21-86	Appellee-Cross-Appellant SHEARSON/ AMERICAN EXPRESS INC. AND MARY ANN MCNULTY brief and addendum filed (w/pfs)
1-22-86	Movant (Securities Industry Association) motion for leave to file brief as amicus curiae filed (w/pfs)
2-3-86	Appellants MCMAHON et al reply brief filed (w/pfs)
2-4-86	Order granted Securities Industry Assoc. for leave to file brief as amicus filed (endorsed on motion filed January 22, 1986)
2-4-86	Brief of amicus curiae Securities Industry Assoc. brief filed (w/pfs)
3-3-86	Case heard before Feinberg, Chief Judge, Timbers, Newman, C.JJ.
3-10-86	Appellees-Cross-Appellants SHEARSON/ AMERICAN EXPRESS INC. motion for leave to obtain transcript of oral argument filed (w/pfs)
3-12-86	Order granting appellee SHEARSON/ AMERICAN EXPRESS INC leave to obtain transcript of oral argument the extent that access to the court's recording of the oral argument so that a certified court reporter may transcribe the

DATE	FILINGS - PROCEEDINGS
	recording under the direction of the clerk. IT IS FURTHER ORDERED that until approval of the transcript is advised by the clerk, no copies shall be released by the reporter filed (endorsed on motion filed March 10, 1986)
4-16-86	Judgment Affirmed in part; Reversed and Remanded in part by published signed opinion (WHT)
4-16-86	Judgment filed
4-29-86	Appellees SHEARSON/AMERICAN EXPRESS et al motion for Stay of Mandate Pending appeal to U.S. Supreme Court filed (w/pfs)
4-30-86	Appellants-Cross-Appellees MCMAHON bill of costs *received* (w/pfs)
5-7-86	Appellants MCMAHON et al affidavit in opposition to motion for a stay of the mandate filed (w/pfs)
5-7-86	Appellants MCMAHON et al memorandum of law in opposition to motion for a stay of the mandate filed (w/pfs)
5-8-86	Order Denied appellees SHEARSON/AMERICAN EXPRESS et al motion for stay of mandate pending appeal to U.S. Supreme Court filed (endorsed on motion filed April 29, 1986)
5-9-86	Statement of costs filed
5-12-86	Mandate (opinion, order & statement of costs) issued
7-23-86	Notice of filing of petition for writ of certiorari filed. (S.C.# 86-44)